Annual Export Control Review of Faculty  
Non-sponsored/Unfunded Research

This questionnaire is part of Buffalo State’s export compliance program. It is to be issued to faculty members once a year.

Instructions: After completing this brief questionnaire, please submit it to your department chair.

Please review the following questions for research activities conducted outside the Research Foundation. Remember that this survey asks only about non-sponsored/unfunded research or scholarship activities you are currently working on or plan to work on during the next twelve (12) months. The purpose of this review is to identify potential export control issues for research and scholarship activities that are not managed through the Research Foundation of SUNY.

1.) Will the non-sponsored/unfunded research have any connection or lead to a possible connection to any of the following:

- a. Military, space or satellite related activities, systems, or products
  - Examples include:
    - Research that feeds into or supports a Department of Defense, DARPA, NASA or related project.
    - Research on improvements to satellite hardware/equipment
    - Military simulation or training exercises

- b. Technology or technical data that is considered proprietary, confidential, restricted, or subject to publication restriction
  - Examples include:
    - Request to execute a Non-Disclosure Agreement or similar confidentiality document
    - Request to remove certain information prior to publication
    - Notification or marking that indicates information is subject to export controls
    - Request to treat information as subject to export controls

- c. Design or development of any of the following:
  - Equipment that will be used to conduct research activities
  - Encryption source code
  - Controlled chemicals or bio-agent/toxic materials

- d. Collaboration with a foreign country. This includes activities such as research, technical data exchange, and shipment of equipment/components or systems.

2.) Will any of the non-sponsored/unfunded research activities restrict or prohibit participation by non-U.S. persons (i.e. “foreign nationals)? This includes foreign national students.
Detailed Summary:

If you answered, “yes,” to any questions above, please provide a detailed explanation, including description of the research activity and identification of any non-university participants (e.g. Department of the Army).

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